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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1994, no persons are required to respond to a collection of information unless it displays a valid OMB control number.UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004-0533A

First Named Inventor : Takahiro WATANABE et al.

Title: INFORMATION MANAGEMENT APPARATUS AND METHOD

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)7. ☐ CD-ROM or CD-R in duplicate, large table or computer  
program (Appendix)2. ☐ Small Entity Status is hereby asserted.8. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)3. ☒ Specification [Total Pages: 66]

- (preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Sequence Listing, a table, or a computer  
program listing appendix.

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
  - i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ The paper and computer readable copies are identical

- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 12]5. ☒ Oath or Declaration [Total Pages: 3]

- a.1. ☒ Newly executed (original or copy)
- a.2. ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet (see 37 CFR 1.76)

## ACCOMPANYING APPLICATION PARTS

- 9. ☒ Assignment Papers (cover sheet & document(s))
- 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee )  
☐ Power of Attorney
- 11. ☐ English Translation Document (if applicable)
- 12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copies of IDS Citations
- 13. ☐ Preliminary Amendment
- 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
- 15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or  
in an Application Data Sheet :☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box  
5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The  
incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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April 9, 2004

THE COMMISSIONER IS AUTHORIZED  
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ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Takahiro WATANABE et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed April 9, 2004 : Attorney Docket No. 2004-0533A

INFORMATION MANAGEMENT APPARATUS  
AND METHOD

**PATENT OFFICE FEE TRANSMITTAL FORM**

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Sir:

Attached hereto is a check in the amount of \$810.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$770.00

Assignment for Recordal ..... \$40.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

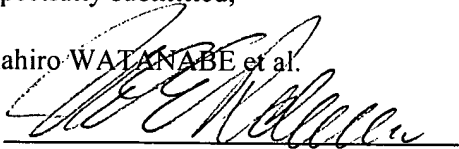
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Respectfully submitted,

Takahiro WATANABE et al.

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